

# Online Research @ Cardiff

This is an Open Access document downloaded from ORCA, Cardiff University's institutional repository: <https://orca.cardiff.ac.uk/id/eprint/130508/>

This is the author's version of a work that was submitted to / accepted for publication.

Citation for final published version:

Bartlett, Rebecca, Jones, Hywel ORCID: <https://orcid.org/0000-0001-8308-2002>, Williams, Gwyn, Farewell, Daniel ORCID: <https://orcid.org/0000-0002-8871-1653> and Acton, Jennifer H. ORCID: <https://orcid.org/0000-0002-0347-7651> 2021. Agreement between ophthalmologists and optometrists in the certification of vision impairment. Eye 35 , pp. 433-440. 10.1038/s41433-020-0860-x file

Publishers page: <http://dx.doi.org/10.1038/s41433-020-0860-x>  
<<http://dx.doi.org/10.1038/s41433-020-0860-x>>

Please note:

Changes made as a result of publishing processes such as copy-editing, formatting and page numbers may not be reflected in this version. For the definitive version of this publication, please refer to the published source. You are advised to consult the publisher's version if you wish to cite this paper.

This version is being made available in accordance with publisher policies.

See

<http://orca.cf.ac.uk/policies.html> for usage policies. Copyright and moral rights for publications made available in ORCA are retained by the copyright holders.



**Title:**

**Agreement between ophthalmologists and optometrists in the certification of vision impairment**

Rebecca Bartlett<sup>1</sup>

Hywel Jones<sup>2</sup>

Gwyn Williams<sup>3</sup>

Daniel Farewell<sup>2</sup>

Jennifer H. Acton<sup>1</sup>

1. College of Biomedical and Life Sciences, Cardiff University, Cardiff, UK
2. Division of Population Medicine, Cardiff University, Cardiff, UK
3. Department of Ophthalmology, Singleton Hospital, Swansea, UK

**Corresponding author:**

Jennifer H. Acton

College of Biomedical and Life Sciences

Cardiff University

Maindy Road

Cardiff

CF24 4HQ

Tel: 02920 870203

E-mail: [actonj@cardiff.ac.uk](mailto:actonj@cardiff.ac.uk)

Conflict of interest: The authors declare no conflict of interest.

## **Abstract**

*Background/Objectives:* The certification process to register patients as sight impaired or severely sight impaired is undertaken by consultant ophthalmologists, in the UK. We sought to assess the agreement between optometrists and a consensus panel, in identifying patient eligibility for certification, relative to the agreement between ophthalmologists and the consensus panel.

*Methods:* The consensus panel (4 consultant ophthalmologists and 3 optometrists with a formal accreditation in low vision), 30 consultant ophthalmologists and 99 low vision optometrists reviewed 40 randomly-selected abridged cases. The eligibility outcomes from the ophthalmologists and the optometrists were compared to the consensus panel outcomes.

*Results:* For ophthalmologists and optometrists, the median (IQR) number of cases in which there was agreement with the consensus panel was 33.0 (31.0, 33.0) and 36.0 (34.0, 36.5), respectively. In severely sight impaired cases, the probabilities of agreeing on eligibility for certification were 76.0% (95% CIs 71.4%, 80.1%) for ophthalmologists and 61.8% (59.0%, 64.6%) for optometrists. In sight impaired cases, the comparable figures were 51.6% (46.7%, 56.4%) for ophthalmologists and 72.2% (69.8%, 74.5%) for optometrists. In cases of bilateral atrophic age-related macular degeneration (AMD), both groups were more likely to agree with the consensus panel and the differences between optometrists and ophthalmologists were less marked.

*Conclusions:* Optometrists demonstrated a comparable agreement relative to ophthalmologists, with the consensus panel on the eligibility of randomly-selected, abridged cases for certification. The findings support the clinical decision-making ability of low vision optometrists in the certification of patients with vision impairment and provide evidence in support of policy change to allow low vision optometrists to certify individuals with atrophic AMD.

#### **Keywords**

Vision disorders classification  
Disability Evaluation  
Consultants  
Optometrists  
Observer Variation  
Ophthalmology standards  
Clinical competence standards  
Practice Patterns, Physicians  
Reproducibility of Results  
Visually Impaired Persons classification  
Health Services research

## INTRODUCTION

Patients who are eligible to be registered as sight impaired or severely sight impaired require a certificate of vision impairment to be completed by a consultant ophthalmologist, in the UK. This is undertaken with reference to the UK guidelines on certification.<sup>1</sup>

Patients with a completed certificate of vision impairment can then choose to be registered with the local government social services department, which then allows access to services and support; although, such support can also be accessed without certification. A greater level of support is available to those registered as severely sight impaired compared to sight impaired. In England, the certificate of vision impairment is used to inform Government metrics of public health improvement and protection,<sup>2</sup> and in Wales, it is used to indicate incident certifiable sight impairment.<sup>3</sup>

An additional role of the certificate of vision impairment is the collection of epidemiological information about the incidence and causes of certifiable sight loss in the UK<sup>4,5</sup>. Whilst the number of certificates issued in the UK accurately reflects those registered with social services as having vision impairment<sup>6</sup>, it does not represent all individuals living with sight loss. Indeed, it is estimated that up to 51% of those eligible for certification are not certified<sup>7-9</sup> and the incidence of certification varies across geographical locations<sup>10</sup>. From studies involving medical record review<sup>7,8,11</sup> and patient interviews,<sup>9</sup> it was found that those with a treatable condition or receiving ongoing treatment were more likely to be certified than those with untreatable conditions. Additionally, those from ethnic minorities were less likely to be certified than Caucasian patients<sup>7,9</sup> and those with visual field loss alone were less likely to be certified than those with reduced visual acuity.<sup>8</sup>

114

115 There is a mismatch in demand and capacity for available secondary care ophthalmology  
116 appointments, and the long waiting times for appointments may put people at risk of  
117 irreversible sight loss <sup>4</sup>. Given the care capacity issues, the role of the primary care  
118 optometrist has expanded, with the introduction and development of enhanced eye care  
119 services <sup>12</sup>.

120

121 In Wales, over 8,500 individuals with low vision are examined by the primary care-based  
122 Low Vision Service (LVSU) each year. Registration with vision impairment is not a  
123 prerequisite for access to this service. The LVSU is provided by 184 practitioners (171  
124 optometrists and 13 dispensing opticians) who have completed and continuously undergo  
125 specialist training.

126

127 In order to assess the appropriateness of an expanded role of LVSU accredited optometrists  
128 in the certification of vision impairment, there is a need to evaluate their clinical ability in  
129 identifying the eligibility of a range of individuals for certification. The aims of this study  
130 were twofold. Firstly, to assess the agreement between optometrists and a consensus  
131 panel, in identifying patient eligibility for certification, relative to the agreement between  
132 ophthalmologists and the consensus panel. The second aim was to explore whether the  
133 agreement between clinician groups and the consensus panel was influenced by the  
134 presence of bilateral atrophic age-related macular degeneration (AMD) as the cause of  
135 vision impairment. This is important given the potential to influence policy in Wales in the  
136 certification of patients with bilateral atrophic AMD by optometrists, as the clinical  
137 management of this group is predominantly based in primary care.

138

## 139 MATERIALS AND METHODS

140 Case records from 40 individuals were selected at random ([www.random.org](http://www.random.org)), from 8,000  
141 patients seen by the LVSW between April 2017 and April 2018, stratified by the three  
142 categories of severity of sight loss and anonymised. The case records for each individual  
143 conformed to the following inclusion criteria: consent had been given to use the data for  
144 research and individuals were at least 18 years old.

145

146 Details from each case record were transferred to a proforma and consisted of: age, gender,  
147 time since diagnosis, occupation, social and living situation, general health, the presence of  
148 a hearing impairment, problems reported, support received to date, diagnosis (right and left  
149 eye), refraction (distance and near), visual acuity (distance and near, monocular and  
150 binocular), binocular contrast sensitivity (measured using the Pelli-Robson chart, reported in  
151 terms of percentage loss and whether the loss was considered as: normal, noticeable loss,  
152 significant loss, severe loss <sup>13</sup>) and the visual field status. Visual field printouts were included  
153 where available.

154

155 The consensus panel consisted of four consultant ophthalmologists and three LVSW  
156 optometrists. Each consultant had been registered with the General Medical Council  
157 specialist register for ophthalmology for at least 2 years and had undergone the standard  
158 seven years of speciality training prior to this. Each of the LVSW optometrists had been  
159 registered with the General Optical Council in addition to specialising in low vision for at  
160 least 15 years and had each completed a Masters Level qualification in low vision. The

consensus panel met to determine the certification eligibility of each of the anonymised case records based on the information presented. In cases of disagreement on the certification outcome, the case was discussed until unanimous agreement was reached.

An anonymous online survey was then created (<https://www.onlinesurveys.ac.uk>), in order to present each of the case records in a random order for each new respondent. All National Health Service consultant ophthalmologists practicing in Wales (n=58) and all LVSW optometrists (n=162) were invited to take part as raters in the online survey to evaluate the 40 case records. Consultant ophthalmologists and LVSW optometrists who were in either the consensus panel and/or the research team were excluded from the survey. The survey was completed without time or other restrictions in an unsupervised environment.

Raters were asked to decide on the certification eligibility status (not eligible, sight impaired [SI], or severely sight impaired [SSI]) of each of the 40 cases, with reference to the English guidelines (Department of Health 2013). These guidelines were provided at the start of the online survey, and were available to be viewed within each of the 40 cases.

The survey was available for completion from the 23<sup>rd</sup> April 2018 until 3<sup>rd</sup> July 2018. An incentive of 18 GBP was offered to optometrists to complete the survey. A pragmatic decision was taken not to offer the incentive to the ophthalmologists. It was advised by the ophthalmologists on the consensus panel that payment to the ophthalmologist participants would not have a significant effect on participation and the administrative process of claiming a payment could act as a disincentive to participation.



Ethical approval was gained from the School of Optometry and Vision Sciences Research ethics and Audit committee (approval number 1443) at Cardiff University. Consent to take part in the study was obtained at the beginning of the online survey. The research was conducted according to the tenets of the Declaration of Helsinki.

## **Analysis**

The agreement between each rater group and the consensus panel was determined using eligibility as both a trichotomous variable (not eligible, SI, SSI) and a dichotomous variable (not eligible or eligible, i.e. encompassing both SI and SSI).

Modelling was then undertaken using the outcome: exact agreement with panel/disagree with panel. Given the 129 ratings for each case, i.e., one rating from each ophthalmologist and optometrist, we used a multilevel model in which inter rater variability was quantified using a random effect. Initially, the variable, agreement (exact agreement with panel/disagree with panel), was modelled as an outcome in a logistic regression on rater group (ophthalmologist/optometrist), consensus panel eligibility rating, and an interaction term between the two. Additionally, bilateral atrophic AMD was then included as another variable in the modelling.

Krippendorff's alpha was used to calculate inter-rater agreement within each clinician group and is appropriate for use with the trichotomous rating outcomes <sup>14</sup>.

208 All analyses were conducted in R Version 3.5.1 and mixed effects models were fitted using  
209 the lme4 package <sup>15</sup>.

210

211

212

213

## 214 **RESULTS**

215 The demographic and clinical details of each of the 40 cases are shown in Table S1 (online  
216 only supplementary table) and in Figures 1a and 1b. Primary causes of vision loss in the 40  
217 case records included: cataract, neovascular and atrophic AMD, Stargardts disease, cone  
218 dystrophy, diabetic eye disease, glaucoma, optic neuritis, nystagmus, retinal detachment,  
219 homonymous hemianopia resulting from stroke and retinitis pigmentosa.

220

221 Of the 40 cases, the consensus panel agreed that 12 were not eligible for certification, 15  
222 were eligible to be certified as SI, and 13 certified as SSI (Table 1). There were no cases in  
223 which a group agreement by the consensus panel was not reached.

224

225 Survey responses from 30 consultant ophthalmologists and 99 low vision optometrists were  
226 received, accounting for 52% and 61% of those eligible to take part (i.e. the total number of  
227 clinicians in Wales) from each rater group, respectively.

228

229 Each of the 40 cases therefore was rated by 129 clinicians, giving a total of 5,160 ratings.

230 Ophthalmologists produced 1,200 ratings; optometrists, 3,960 (Table 2). These were not

independent observations: each of the 129 raters classified the same 40 cases. The 28 cases rated as eligible for certification by the panel thus provided  $28 \times 30 = 840$  observations by ophthalmologists and  $28 \times 99 = 2,772$  observations by optometrists. Seventy-five % ( $n=631$ ) of the ophthalmologists' ratings of those cases were eligible for certification. Eighty-eight % ( $n=2,440$ ) were eligible in the optometrists' view (Table 2).

For each rater, a count was made of the number of cases classified to each category.

Ophthalmologists rated a median of 11 (IQR 9.25, 11) cases as SI and 10 (9.25, 10) as SSI.

Optometrists rated a median of 17 (15, 19) cases as SI and 8 (7, 11) as SSI.

Compared to the consensus panel who considered 12 of the cases to be ineligible, a median of 19 (IQR 18.25, 20) cases were rated ineligible by ophthalmologists and 14 (12, 16) by optometrists. Fifteen cases were rated as SI by the consensus panel, whilst 11 (9.25, 11) and 17 (15, 19) cases were rated as SI by the ophthalmologists and optometrists, respectively. Thirteen cases were rated as SSI by the consensus panel, whilst 10 (9.25, 10) and 8 (7, 11) cases were rated as SSI by ophthalmologists and optometrists, respectively. This, however, does not indicate the level of agreement concerning individual cases. We then determined, for each case and rater, whether the rater agreed with the consensus panel's outcome, either when considering the dichotomous (eligible/not eligible) rating or the trichotomous (not eligible/SI/SSI) rating.

The agreement between each rater group with the consensus panel is shown in Figure 1c.

Figure 1d is an alternative presentation of the same data. For the dichotomous rating, the optometrists' distribution is clearly different to the ophthalmologists' and in better accord

with the consensus panel's outcome. For ophthalmologists, the median (IQR) number of cases in which there was agreement with the consensus panel was 33.0 (31.0, 33.0); comparable figures for optometrists were 36.0 (34.0, 36.5). For ophthalmologists, the mode was 33, where 13 ophthalmologists (43%) agreed with the consensus panel. Similarly, for optometrists, the mode was 36 cases, where 26 optometrists (26%) agreed with the consensus panel. For the trichotomous rating, the median (IQR) number of cases in which there was full agreement with the consensus panel was 30.0 (28.3, 30.0); comparable figures for optometrists were 30.0 (27.0, 31.5).

Table 3 (charted in Figure 2a) shows the probability of rating the eligibility of the cases in exact agreement with the consensus panel, for each rater group, together with 95% confidence intervals derived from the fitted model. The greatest differences between optometrists and ophthalmologists occurred for cases determined by the consensus panel as SI: optometrists considered 72% of those cases as SI while ophthalmologists rated only 52% as SI (95% CIs 0.70, 0.75 cf. 0.47, 0.56). For cases rated as SSI by the consensus panel, optometrists and ophthalmologists considered 62% and 76% as SSI, respectively (95% CIs 0.59, 0.65 cf. 0.71, 0.80). Agreement on cases that were, according to the consensus panel, not eligible, was closer between clinician groups.

Bilateral atrophic AMD was then added in to the model as an explanatory variable (Figure 2a, bottom panel), which was selected for inclusion given its clinical significance. Overall, both clinician groups were more likely to agree with the consensus panel outcomes for cases of bilateral atrophic AMD than for cases in which it was not present. As previously, the greatest differences between optometrists and ophthalmologists occurred for cases eligible

for certification as SI; however, these differences were less marked in cases of bilateral atrophic AMD.

As Figure 2b (top panel) suggests both ophthalmologists and optometrists largely agreed that those cases considered not eligible by the consensus panel were truly ineligible and that the cases considered SSI by the panel were eligible. Figure 2b (top panel) shows that for both groups, the classification of most cases was unambiguous: 19 of the cases were judged as eligible by over 90% of the optometrists, while a further 6 of the cases were considered eligible by less than 10% of the optometrists, i.e., over 90% of the optometrists considered those 6 to be ineligible. Ophthalmologists demonstrated a similar pattern with near unanimity over the classification of 16 cases as eligible and 12 cases were considered eligible by less than 10% of the ophthalmologists. For the cases determined as SI by the consensus panel (Figure 2b, bottom panel), there were 7 cases in which less than 50% of ophthalmologists agreed with the consensus panel, but only 2 cases in which same was true for optometrists.

There were 11 cases of complete agreement amongst all the ophthalmologists and optometrists, one of which all considered ineligible, the others being eligible.

Moderate to substantial inter-rater agreement was demonstrated within each rater group. Based on all 40 cases, for ophthalmologists, Krippendorff's alpha values were 0.72 (95% CI 0.62 – 0.81) and 0.8 (0.70 – 0.88), for the dichotomous and trichotomous classifications, respectively. Similarly, the corresponding values for optometrists were 0.67 (0.53 – 0.78) and 0.73 (0.63 – 0.81).

## DISCUSSION

This study evaluated the clinical decision-making abilities of low vision optometrists and consultant ophthalmologists in certifying patients as vision impaired. Unlike the ophthalmologists, the optometrists were inexperienced in the process of certification. However, low vision optometrists are experienced in managing patients with low vision and thus have a theoretical understanding of the certification of vision impairment, but not a current role in the formal certification process.

The key finding of this study was that optometrists demonstrated comparable agreement relative to ophthalmologists, with the consensus panel outcomes on the eligibility of cases for certification. The similarity in performance between groups is demonstrated by the number of cases in which there was agreement with the consensus panel and the overall probability of rating the eligibility of cases in exact agreement with the consensus panel. For cases rated as SI, the probability of agreement with the consensus panel was greater for optometrists than for ophthalmologists, whilst the opposite was true for cases rated as SSI. Both clinician groups rated fewer cases as eligible relative to the consensus panel. Ophthalmologists were least likely to agree with the consensus panel outcome for cases judged by the consensus panel as SI, whilst optometrists were least likely to agree with the consensus panel for SSI cases. Whilst the results for SSI cases may reflect the naivety of the optometrists in the certification process, and may be partly explained by a stronger adherence to the clinical guidelines, the overall similarity between groups supports their ability to provide this service to patients.

328

329 In one case (case 3), classified as SI by the consensus panel, interestingly, 90% of the  
330 ophthalmologists classified this case as not eligible (Figure 2b). Although this individual had  
331 better visual acuities than the guideline criteria for certification, she had a severe loss of  
332 contrast sensitivity, recent diagnosis of AMD, and lived alone. This suggests the rating of  
333 the consensus panel may have allocated more weighting to the circumstantial factors than  
334 the visual acuity status, relative to that of the ophthalmologists. Whilst contrast sensitivity  
335 is not specifically mentioned in the certification guidelines, clinicians may differ in their  
336 consideration of this outcome when it is available. However, decisions are never made on  
337 this outcome alone.

338

339 Both clinician groups were more likely to agree with the consensus panel across all eligibility  
340 classifications in cases of bilateral atrophic AMD, relative to the other causes of vision  
341 impairment. In these cases, the differences between clinician groups was less, relative to  
342 those cases in which there was another cause of vision impairment i.e. not atrophic AMD.  
343 This difference was most marked for the SI cases (Figure 2a).

344

345 AMD is the leading cause of certifiable vision impairment in England and Wales accounting  
346 for 50% of all certifications of vision impairment <sup>16</sup> and of these cases, atrophic AMD is the  
347 leading cause of vision loss in approximately 50% <sup>17</sup>. Given the lack of clinical therapeutic  
348 options for atrophic AMD, patients with this condition would not be routinely monitored  
349 within the hospital eye service. Yet, the vision loss associated with severe atrophic AMD  
350 meets the threshold for eligibility for certification. Therefore, these patients would

particularly benefit from access to certification through primary care optometry, should it become available.

This is the first study to measure the agreement between optometrists and consultant ophthalmologists in the consideration of eligibility for certification of patients with vision impairment. Previous studies have examined the agreement between optometrists and ophthalmologists, in other clinical tasks <sup>18-23</sup>. Some have shown moderate to substantial agreement between these groups in the grading of anterior chamber angles <sup>19</sup> and in the evaluation of glaucoma <sup>20-23</sup>. Others have demonstrated poor levels of agreement between and within consultant ophthalmologists, in classifying patients with glaucomatous visual field defects <sup>18</sup>. However, such comparisons to the present study are limited given the different nature of these clinical tasks.

The strengths of the study include the substantial proportion of clinicians relative to the overall workforce in Wales who took part in the study. A consensus panel was adopted to provide a reference standard for clinical decision-making.

The limitations of the study include the online delivery of the survey, which may have resulted in the self-selection of clinicians with a specific interest to act as participants. A moderate number of anonymised cases were reviewed, although they were representative of the variety of disease types and individual circumstances of such cases. The grading of anonymised cases does not fully simulate the interaction that occurs between a clinician and a patient. Additionally, whilst the ophthalmologists were experienced in the real life process of certification, neither of the clinician groups were familiar with the task of



classifying abridged cases. A possible risk of bias could be attributed to the incentivisation to optometrists but not ophthalmologists, however, the payment was offered independently of performance in the classification task, and therefore should be expected to be independent of the recorded outcomes for each participant.

The number of optometrist participants was consistent with the number who claimed the incentive. Although the possibility that an ophthalmologist participant completed the survey more than once cannot be excluded, it is unlikely, given the time taken to review each case, which was presented in a random order in each survey.

Overall, the performance of optometrists was comparable to that of ophthalmologists in the rating of eligibility of virtual patient cases for the certification of vision impairment. The findings support the clinical decision-making ability of low vision optometrists in the certification of patients with vision impairment, especially in cases of atrophic AMD. A prospective study comparing the assessment of patients with bilateral atrophic AMD by low vision optometrists against a reference standard is warranted.

## **ACKNOWLEDGEMENTS**

The study was funded by the charitable organisation, Sight Cymru. We are also grateful to the consensus panel members and to all study participants.

## REFERENCES

1. CVI – Certificate of Vision Impairment. *Royal College of Ophthalmologists*. Available at: <https://www.rcophth.ac.uk/professional-resources/certificate-of-vision-impairment/>. Last accessed April 2019.
2. Certificate of Vision Impairment. *Department of Health*. Available at: [https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/637590/CVI\\_guidance.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/637590/CVI_guidance.pdf). Last accessed April 2019.
3. Sensory Health: Eye Care and Hearing Statistics, 2017-18 & 2018-19. *Welsh Government*. Available at: <https://gov.wales/sites/default/files/statistics-and-research/2019-06/sensory-health-eye-care-and-hearing-statistics-april-2017-march-2018-revised.pdf>. Last accessed Sept 2019.
4. Boyce T. Real patients coming to real harm: Ophthalmology services in Wales. *Royal National Institute of Blind People*. Available at: [https://www.rnib.org.uk/sites/default/files/Real\\_patients\\_coming\\_to\\_real\\_harm\\_.pdf](https://www.rnib.org.uk/sites/default/files/Real_patients_coming_to_real_harm_.pdf). Last accessed April 2019.
5. Mitry D, Bunce C, Wormald R, Leamon S, Simkiss P, Cumberland P *et al*. Causes of certifications for severe sight impairment (blind) and sight impairment (partial sight) in children in England and Wales. *Br J Ophthalmol* 2013; **97**(11): 1431-1436.
6. Rees A, Bunce C, Patel P. Caution needed when examining certificate of vision impairment rates: the new public health indicator. *Eye* 2013; **27**(7): 892-892.
7. Barry RJ, Murray PI. Unregistered visual impairment: is registration a failing system? *Br J Ophthalmol* 2005; **89**(8): 995-998.
8. Bunce C, Evans J, Fraser S, Wormald R. BD8 certification of visually impaired people. *Br J Ophthalmol* 1998; **82**(1): 72-76.
9. Robinson R, Deutsch J, Jones HS, Youngson-Reilly S, Hamlin DM, Dhurjon L *et al*. Unrecognised and unregistered visual impairment. *Br J Ophthalmol* 1994; **78**(10): 736-740.
10. Malik ANJ, Bunce C, Wormald R, Suleman M, Stratton I, Gray JAM. Geographical variation in certification rates of blindness and sight impairment in England, 2008-2009. *Bmj Open* 2012; **2**(6).
11. King AJ, Reddy A, Thompson JR, Rosenthal AR. The rates of blindness and of partial sight registration in glaucoma patients. *Eye (Lond)* 2000; **14** ( Pt 4): 613-619.
12. Baker H, Ratnarajan G, Harper RA, Edgar DF, Lawrenson JG. Effectiveness of UK optometric enhanced eye care services: a realist review of the literature. *Ophthalmic and Physiological Optics* 2016; **36**(5): 545-557.

13. Rumney NJ. USING VISUAL THRESHOLDS TO ESTABLISH LOW-VISION PERFORMANCE. *Ophthalmic and Physiological Optics* 1995; **15**: S18-S24.
14. Zapf A, Castell S, Morawietz L, Karch A. Measuring inter-rater reliability for nominal data – which coefficients and confidence intervals are appropriate? *BMC Medical Research Methodology* 2016; **16**(1): 93.
15. Bates D, Mächler M, Bolker B, Walker S. Fitting Linear Mixed-Effects Models Using lme4. *Journal of Statistical Software* 2015; **67**(1): 48.
16. Quartilho A, Simkiss P, Zekite A, Xing W, Wormald R, Bunce C. Leading causes of certifiable visual loss in England and Wales during the year ending 31 March 2013. *Eye* 2016; **30**(4): 602-607.
17. Rees A, Zekite A, Bunce C, Patel PJ. How many people in England and Wales are registered partially sighted or blind because of age-related macular degeneration? *Eye* 2014; **28**(7): 832-837.
18. Guerin E, Bouliotis G, King A. Visual impairment registration: evaluation of agreement among ophthalmologists. *Eye* 2014; **28**(7): 808-813.
19. Jindal A, Myint J, Edgar DF, Nolan WP, Lawrenson JG. Agreement among optometrists and ophthalmologists in estimating limbal anterior chamber depth using the van Herick method. *Ophthalmic Physiol Opt* 2015; **35**(2): 179-185.
20. Azuara-Blanco A, Burr J, Thomas R, MacLennan G, McPherson S. The accuracy of accredited glaucoma optometrists in the diagnosis and treatment recommendation for glaucoma. *Br J Ophthalmol* 2007; **91**(12): 1639-1643.
21. Banes MJ, Culham LE, Bunce C, Xing W, Viswanathan A, Garway-Heath D. Agreement between optometrists and ophthalmologists on clinical management decisions for patients with glaucoma. *Br J Ophthalmol* 2006; **90**(5): 579-585.
22. Ho S, Vernon SA. Decision making in chronic glaucoma--optometrists vs ophthalmologists in a shared care service. *Ophthalmic Physiol Opt* 2011; **31**(2): 168-173.
23. Marks JR, Harding AK, Harper RA, Williams E, Haque S, Spencer AF *et al.* Agreement between specially trained and accredited optometrists and glaucoma specialist consultant ophthalmologists in their management of glaucoma patients. *Eye (Lond)* 2012; **26**(6): 853-861.

## FIGURE LEGENDS

Figure 1 (a) The percentage of cases by gender and consensus panel outcome, according to demographic and clinical characteristics. (b) The consensus panel outcome with respect to visual acuity and time since diagnosis. (c) The number of cases out of 40 in which ophthalmologists (left) and optometrists (right) agreed with the consensus panel in the assessment of eligibility for certification as a dichotomous variable (not eligible or eligible; top) and as a trichotomous variable (bottom). (d) The distributions of the number of cases out of 40 in which ophthalmologists and optometrists agreed with the consensus panel in the assessment of eligibility for certification as a dichotomous variable (not eligible or eligible; left panel) and a trichotomous variable (not eligible, sight impaired, or severely sight impaired; right panel). Boxplot limits in (b) and (d) indicate the lower sample quartile, sample median and upper sample quartile.

Figure 2. (a) The probability of agreeing with the consensus panel outcome, for each rater group. The vertical grey bars represent the 95% confidence intervals. The top panel in (a) shows the overall agreement and the bottom panel shows the agreement for cases in which the primary cause of vision impairment was (right) and was not (left) bilateral atrophic AMD. (b) Distribution of cases by percentage of raters judging the cases to be eligible. The top panel shows the overall distribution. The bottom panel shows the distribution for cases determined by the consensus panel as sight impaired only.

# TABLES

	Consensus panel outcome		
	Not eligible	Eligible	
		Sight impaired (SI)	Severely sight impaired (SSI)
			<i>Number of cases</i>
<b>Total</b>	12	15	13
<b>Case characteristic:</b>			
<b>Sex</b>			
Male	5	5	7
Female	7	10	6
<b>Age-related macular degeneration</b>	4	12	7
<b>Bilateral atrophic age-related macular degeneration</b>	3	4	5
<b>Lives alone</b>	1	10	7
<b>Hearing impaired</b>	1	4	2
			<i>Median(IQR)</i>
<b>Age</b>	78.5 (73.8, 86.0)	79.0 (71.5, 81.0)	79.0 (73.0, 81.0)
<b>Binocular distance visual acuity (LogMAR)</b>	0.44 (0.30, 0.70)	0.90 (0.84, 1.00)	1.30 (1.00, 1.30)
<b>Years since diagnosis</b>	6 (4, 10)	4 (2, 5.75) <sup>†</sup>	4.5 (2, 6.25) <sup>††</sup>

<sup>†</sup> based on 14 cases<sup>††</sup> based on 12 due to missing data

*Table 1. Case characteristics by consensus panel outcome*

521

		Consensus panel outcome		
		Not eligible	Eligible	
			Sight impaired (SI)	Severely sight impaired (SSI)
Rater group	Rater group's classification			
<b>Ophthalmologists</b>				
	Not eligible	339	183	26
	Sight impaired (SI)	19	232	68
	Severely sight impaired (SSI)	2	35	296
<b>Optometrists</b>				
	Not eligible	1042	328	4
	Sight impaired (SI)	138	1071	488
	Severely sight impaired (SSI)	8	86	795

522 *Table 2. Number of ratings by trichotomous classification of cases by the consensus panel*  
523 *and by each rater group.*

524

525

526

527

528

529

530

531

532

533

534

535

Rater group	Consensus panel outcome	Probability of rater group agreeing exactly with consensus panel	95% confidence interval	
			Lower limit	Upper limit
<b>Ophthalmologists</b>	Not eligible	0.942	0.913	0.962
<b>Optometrists</b>	Not eligible	0.878	0.858	0.896
<b>Ophthalmologists</b>	SI	0.516	0.467	0.564
<b>Optometrists</b>	SI	0.722	0.698	0.745
<b>Ophthalmologists</b>	SSI	0.760	0.714	0.801
<b>Optometrists</b>	SSI	0.618	0.590	0.646

Table 3. Modelling outcomes showing the estimated probability of rating the eligibility of the cases in exact agreement with the consensus panel, for each rater group, with 95% confidence intervals derived from the fitted model.

#### ONLINE ONLY SUPPLEMENTARY MATERIAL

Table S1. Demographic and clinical details for the 40 cases, including; age (years), sex (M=male, F=Female), time since diagnosis, whether the patient lives alone, whether there is a patient reported hearing impairment, eye condition (NAMD= neovascular AMD, GA= atrophic AMD, DR= diabetic retinopathy, DM= diabetic maculopathy), severity of binocular contrast sensitivity loss [13] measured with Pelli-Robson chart, monocular and binocular distance visual acuity (LogMAR), binocular near visual acuity, visual field status (as recorded in original case record or as indicated by visual field printout), and consensus panel eligibility criteria (NE=not eligible, SI= Sight Impaired, SSI= Severely Sight Impaired). Cases of bilateral atrophic AMD are indicated by the term GA in both right eye (OD) and left eye (OS) columns.